

FORM PTO-1449 (Modified)		ATTY. DOCKET NO.	SERIAL NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		SVL920030053US1/2864P	10/648,760
(Use several sheets if necessary)		APPLICANT:	
		Robbert C. VAN der LINDEN and Brian S. VICKERY	
		FILING DATE:	GROUP:
		August 25, 2003	To Be Assigned

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

EXAMINER INITIALS	DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
SB	6	4	8	7	5	6	6	11/26/02	Sundaresan	707	513
SB	6	4	8	0	8	6	5	11/12/02	Lee et al.	707	523
SB	6	5	0	2	1	0	1	12/31/02	Verprauskus et al.	707	101
SB	6	5	1	9	5	9	7	02/11/03	Cheng et al.	707	10
SB	6	4	2	1	6	5	6	07/16/02	Cheng et al.	707	2
SB	6	3	6	6	9	3	4	04/02/02	Cheng et al.	707	513

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

		"Using an XML Query Language to Publish Relational Data as XML," Dossier - ARC910000247, pp. 1-61. No Date
		"Annotated Automation Encoding of XML Schema for High-Performance Schema Validation," Dossier - SVL920020102, pp. 1-61. No US Serial number & filing date

EXAMINER	/Shelly Bell,	DATE CONSIDERED
		06/08/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. SVL920030053US1/2864P	SERIAL NO. 10/648,760
APPLICANT: Robbert C. VAN der LINDEN and Brian S. VICKERY		
FILING DATE: August 25, 2003		GROUP: To Be Assigned

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
SB	US 2002/0169788	11/14/02	Lee et al.	707	104.0	
SB	US 2002/0111965	08/15/02	Kutter	707	513	
SB	US 2002/0038319	03/28/02	Yahagi	707	513	
SB	US 2002/0112224	08/15/02	Cox	717	100	
SB	US 2002/0156811	10/24/02	Krupa	707	513	
SB	US 2002/0099715	07/25/02	Jahnke et al.	707	100	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER		DATE CONSIDERED	
/Shelly Bell,		06/08/2006	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
SVL920030053US1/2864PSERIAL NO.
10/648,760

APPLICANT:

Robbert C. VAN der LINDEN and Brian S. VICKERY

FILING DATE:

August 25, 2003

GROUP:

To Be Assigned

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
SB	US 2003/0014397	01/16/03	Chau et al.	707	3	
SB	US 2002/0156772	10/24/02	Chau et al.	707	3	
SB	US 2002/0133484	09/19/02	Chau et al.	707	3	
SB	US 2002/0123993	09/05/02	Chau et al.	707	5	
SB	US 2002/0103829	08/01/02	Manning et al.	707	513	
SB						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

/Shelly Bell,

DATE CONSIDERED

06/08/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)		ATTY. DOCKET NO.	SERIAL NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		SVL920030053US1/2864P	10/648,760
(Use several sheets if necessary)		APPLICANT:	
		Robbert C. VAN der LINDEN and Brian S. VICKERY	
		FILING DATE:	GROUP:
		August 25, 2003	To Be Assigned



REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

SB		Kanne, Carl-Christian et al., "Efficient Storage of XML Data," Universität Mannheim, Germany, June 16, 1999, pp. 1-20.
EXAMINER	/Shelly Bell,	DATE CONSIDERED 06/08/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Approved for use through 07/31/2008. OMB 0631-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
a collection of information unless it contains a valid OMB control number.

Complete if Known

Substance for form 144A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Application Number	1U/648,760
Filing Date	8-25-2003
First Named Inventor	Van der Linden et al
Art Unit	2176
Examiner Name	Unknown
Attorney Docket Number	IBM.03.53.US

Examiner Signature	/Shelly Bell,	Date Considered	06/08/2006
-----------------------	---------------	--------------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ²Applicant's unique citation designation number (optional). ³See Kinda Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ⁴Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁵For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁷Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-788-9199) and select option 2.